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1	TI	RANSMITTAL LETTER TO THE UNITED STATES					
		DESIGNATED/ELECTED OFFICE (DO/EO/US)	U.S. APPLICATION NO (IF KNOWN, SEE 37 CF)				
		CONCERNING A FILING UNDER 35 U.S.C. 371					
INTE	RNAT PCT	TIONAL APPLICATION NO. INTERNATIONAL FILING DATE 210ctober1999 (21.10.99	PRIORITY DATE CLAIMED 02November1998 (02.11.98)				
TITL	E OF I	INVENTION					
	SYS	TEM FOR STORING AND TRANSMITTING HOME NE	TWORK SYSTEM DATA				
APPL		VT(S) FOR DO/EO/US					
	•	Ernst F. Schroeder	•				
Appli	icant l	herewith submits to the United States Designated/Elected Office (DO/EO/US) the	ne following items and other information:				
1.	和	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371	,				
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing					
3.	X	This is an express request to begin national examination procedures (35 U.S.C	2. 371(f)) at any time rather than delay				
٠.		examination until the expiration of the applicable time limit set in 35 U.S.C. 3	71(b) and PCT Articles 22 and 39(1).				
4.	X	A proper Demand for International Preliminary Examination was made by the	19th month from the earliest claimed priority da				
5.	$\square$ X	A copy of the International Application as filed (35 U.S.C. 371 (c) (2))					
		a.  is transmitted herewith (required only if not transmitted by the Inter-	national Bureau).				
		b. 🖾 has been transmitted by the International Bureau.					
		c. $\square$ is not required, as the application was filed in the United States Received					
6.		A translation of the International Application into English (35 U.S.C. 371(c))					
7.	₫¥ —	A copy of the International Search Report (PCT/ISA/210). attached					
8.	ĹΧ	Amendments to the claims of the International Application under PCT Article					
		a.   are transmitted herewith (required only if not transmitted by the Inte	mational Bureau).				
		b. have been transmitted by the International Bureau.	month has NOT ownized				
		c. $\square$ have not been made; however, the time limit for making such amend d. $\boxtimes$ have not been made and will not be made.	inents has NOT expired.				
	. 🗆		2.371(a)(3))				
9. 10.	ďΧ	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).					
11.	ĘŻ	A copy of the International Preliminary Examination Report (PCT/IPEA/409)					
12.	□ -¾	A translation of the annexes to the International Preliminary Examination Rep					
	_	(35 U.S.C. 371 (c)(5)).					
It	ems 1	13 to 20 below concern document(s) or information included:					
13.	≱	An Information Disclosure Statement under 37 CFR 1.97 and 1.98. With	references attached				
14.		An assignment document for recording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.				
15.	Ž	A FIRST preliminary amendment.					
16.		A SECOND or SUBSEQUENT preliminary amendment.					
17.		A substitute specification.					
18.   A change of power of attorney and/or address letter.							
19.	X	Certificate of Mailing by Express Mail 20 Return postcard receipt					
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Ernst F. Schroeder

Filed

Herewith

For

SYSTEM FOR STORING AND TRANSMITTING HOME

NETWORK SYSTEM DATA (amended by Preliminary

Amendment)

### PRELIMINARY AMENDMENT

Hon. Commissioner of Patents and Trademarks

Box PCT

Washington, D.C. 20231

Sir:

In the US national phase application of PCT/EP99/07978 filed herewith, please enter the following amendments:

### IN THE SPECIFICATION:

Please amend the specification as follows: A marked up version of the amended specification is attached herewith:

Page 1, lines 1-2, delete the title "SYSTEM HAVING A PLURALITY OF DEVICES CONNECTED TO ONE ANOTHER VIA A DIGITAL INTERFACE" and insert -- SYSTEM FOR STORING AND TRANSMITTING HOME NETWORK SYSTEM DATA --.

On Page 1, immediately after the title, insert the following paragraph:

-- This application claims the benefit of German application serial no. 19850574.4 filed November 2, 1998, which is hereby incorporated herein by reference, and which claims the benefit under 35 U.S.C. § 365 of International Application PCT/EP99/07978, filed October 21, 1999, which was published in accordance with PCT Article 21(2) on May 11, 2000 in English.--

### IN THE CLAIMS:

Please replace pending the claims with the following amended claims. A marked up version of the amended claims are attached herewith:

- 1.(AMENDED) System having a plurality of devices connected to one another via a bus interface, in particular an IEEE 1394 bus interface, wherein one of the devices contains a control unit which, when operated appropriately by a user, polls system data for other devices in this system via the interface, wherein said system data comprises characteristic data for a device, e.g. a serial number, the manufacturer's mark, the device class, output and/or input characteristics, the software version and/or any error data and passes this system data to an output unit of this one device, the output unit being either a device for writing to a mobile, digital data medium which can store the system data or the output unit being a modem or another telecommunication connection which, when operated appropriately by a user, can send the system data to a desired address.
- 2. System according to Claim 1, wherein the mobile data medium is a smart card or a chip card having a memory, and in that, when operated appropriately by a user, the control unit in this one device stores system data for the connected other devices on the smart card or the chip card using the device.
- System according to Claim 1, wherein this one device having the device for 3. writing to the data medium is a set-top box or a digital satellite receiver having a write/read device for a chip card or a smart card.

- 4. System according to Claim 1, wherein this one device having the device for writing to the data medium is a minicomputer having a drive for a floppy disk or another data medium having a magnetic or optical storage medium.
- 5. Device for a system according to Claim 1, wherein this one device contains a control unit which, when operated appropriately by a user, polls system data for the connected other devices via an interface wherein this one device includes a device for writing to a mobile, digital data medium and wherein the device stores this system data on the data medium using the device.
- 6. Device for a system according to Claim 1, wherein this one device contains a control unit which, when operated appropriately by a user, or when an appropriate remote polling code is received, polls system data for the connected other devices via an interface wherein this one device includes a modem or another telecommunication connection which can send the polled system data to a desired address.
- 7. Device according to Claim 6, wherein user operation corresponds to remote control in the context of a remote polling code transmitted via communication line or by radio.

### IN THE ABSTRACT:

### Please add the following Abstract.

-- The invention specifies a system having a plurality of devices which are connected to one another via an IEEE 1394 interface and one of which contains a control unit which, when operated appropriately by a user, polls system data for devices in this system via the interface and passes this system data to an output unit of this device. The device having the output unit is, by way of example, a set-top box having a microprocessor which a user uses to poll system data for the devices, which contains, in particular the input and output characteristics of the latter, via the interface and which the user can use to store this system data on a smart card by means of a write/read device. Alternatively or at the same time, the system data can

be shown on a display or transmitted to a desired address via a modem connection. As a result, the user of the system can receive expert advice from a specialist dealer or a customer service point regarding which devices he can best add to his system; or if a point of failure or faults arise.--

### REMARKS

The title has been amended to conform with the translated title of the published application (WO 00/27127).

The specification has been amended to include a reference to the priority applications.

The claims have been amended to remove reference indicia.

To meet the requirements of the United States, the Abstract (as originally filed in the PCT application) is added.

No fee is believed to have been incurred by virtue of this amendment. However if a fee is incurred on the basis of this amendment, please charge such fee against deposit account 07-0832

Respectfully submitted, Ernst F. Schroeder

Paul P. Kiel

Ze Zhil

Attorney for Applicant Registration No. 40,677

609/734-9650

THOMSON multimedia Licensing Inc. Patent Operation PO Box 5312 Princeton, NJ 08543-5312

April 23, 2001

## MARKED UP VERSION OF THE AMENDED SPECIFICATION

Page 1, lines 1-2, delete the title "SYSTEM HAVING A PLURALITY OF DEVICES CONNECTED TO ONE ANOTHER VIA A DIGITAL INTERFACE" and insert -- SYSTEM FOR STORING AND TRANSMITTING HOME NETWORK SYSTEM DATA --.

On Page 1, immediately after the title, insert the following paragraph:

-- This application claims the benefit of German application serial no. 19850574.4 filed November 2, 1998, which is hereby incorporated herein by reference, and which claims the benefit under 35 U.S.C. § 365 of International Application PCT/EP99/07978, filed October 21, 1999, which was published in accordance with PCT Article 21(2) on May 11, 2000 in English.--

### IN THE CLAIMS:

Please amend the claims (which are the annexes of the International Preliminary Examination Report), as follows. A marked up version of the amended claims are attached herewith:

1.(AMENDED) System having a plurality of devices connected to one another via a bus interface, in particular an IEEE 1394 bus interface, wherein one of the devices [(3)] contains a control unit [(11)] which, when operated appropriately by a user, polls system data for other devices [(2,4-7)] in this system via the interface [(1)], wherein said system data comprises characteristic data for a device [(2, 4-7)], e.g. a serial number, the manufacturer's mark, the device class, output and/or input characteristics, the software version and/or any error data and passes this system data to an output unit [(9, 13, 14)] of this one device [(3), characterized in that], the output unit [(9, 13, 14) is] being either a device [(9)] for writing to a mobile, digital data medium [(10)] which can store the system data or [that] the output unit [(9, 13, 14) is] being a modem or another telecommunication connection [(14)] which, when operated appropriately by a user, can send the system data to a desired address.

- 2.(AMENDED) System according to Claim 1, [characterized in that] wherein the mobile data medium [(10)] is a smart card or a chip card having a memory, and in that, when operated appropriately by a user, the control unit [(11)] in this one device [(3)] stores system data for the connected other devices [(2,4-7)] on the smart card or the chip card [(10)] using the device [(9)].
- 3.(AMENDED) System according to Claim 1 [or 2, characterized in that], wherein this one device [(3)] having the device [(9)] for writing to the data medium [(10)] is a set-top box or a digital satellite receiver having a write/read device for a chip card or a smart card.
- 4.(AMENDED) System according to Claim 1 [or 2, characterized in that], wherein this one device [(3)] having the device [(9)] for writing to the data medium [(10)] is a minicomputer having a drive for a floppy disk or another data medium having a magnetic or optical storage medium.
- 5.(AMENDED) Device for a system according to [one of the Claims 1 4]

  Claim 1, wherein this one device [(3)] contains a control unit [(11)] which, when operated appropriately by a user, polls system data for the connected other devices [(2,4-7)] via an interface [(1), characterized in that] wherein this one device includes a device [(9)] for writing to a mobile, digital data medium and wherein the device stores this system data on the data medium [(10)] using the device [(9)].
- 6.(AMENDED) Device for a system according to [one of the Claims 1 4]

  Claim 1, wherein this one device [(3)] contains a control unit [(11)] which, when operated appropriately by a user, or when an appropriate remote polling code is received, polls system data for the connected other devices [(2,4-7)] via an interface [(1), characterized in that] wherein this one device [(3)] includes a modern or another telecommunication connection [(14)] which can send the polled system data to a desired address.

7.(AMENDED) Device according to Claim 6, [characterized in that] wherein user operation corresponds to remote control in the context of a remote polling code transmitted via communication line or by radio.

PCT/EP99/07978

# SYSTEM FOR STORING AND TRANSMITTING HOME NETWORK SYSTEM DATA

The invention relates to a system having a plurality of devices connected to one another via digital interfaces. Furthermore, the invention relates to a device for writing to a mobile data medium for use in the system and to a mobile data medium for use in the system.

### 10 Prior art

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The invention is based on a system having a plurality of devices connected to one another via an IEEE 1394 interface. Devices of this type are known for home applications, for example, and it is expected that these devices will become established on the market as mass-produced products in connection with digital television and digital recording methods.

Entertainment electronics devices are produced by many 20 manufacturers in a great variety of design forms widely ranging in quality and price. Hence, users frequently combine devices from different manufacturers for a music and/or video system. What they often do not know, however, is which devices go together best or whether the devices are completely compatible with one another.

The digital interface IEEE 1394, also called "Firewire", connects the devices to form a system via which, for example, video data, audio data or system data is transmitted. This system is able, amongst other things, itself configure when additional devices connected, even during continuous operation (hot plugging). When a new device is connected to the IEEE 1394 interface, a reset is triggered, irrespective of the particular state of the interface. After the reset, the structure of the interface is determined again physical addresses are allocated for the purpose of selfidentification.

As a result, however, a user no longer has direct access to the configuration data, as this is generated internally. It is, of course, possible to show or print this configuration data, for example using a PC, but a user will frequently not know the configuration of his system precisely. Hence, he will not know what device supplements his system best if he wishes to add a further device.

Digital video devices having an IEEE 1394 interface are 10 already known. Minicomputers (PC or laptop) can also have this interface fitted. An insight into the way in which the IEEE 1394 interface works and possibilities for its use is given in the brochure SPECSinternational, Vol. 10, 4, July/August 1998 from Cable Television 15 No Laboratories, Inc., Louisville, USA. For the interface itself, the standard IEEE Std 1394-1995 was created, entitled "IEEE Standard for a High Performance Serial

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## Invention

Bus", IEEE 1996.

The object of the present invention, therefore, is to specify a system of the type mentioned above which gives a user the option of adding further devices to the system without difficulty.

This object is achieved by the features of the invention which are specified in Claim 1. Advantageous developments of the system and devices in the system are specified in the further claims.

With the system according to the invention, a user can poll the system data for devices in this system via the IEEE 1394 interface using a control unit arranged in one of the devices, and can pass this system data to an output unit of this device. The output unit is, by way of example, a device for writing to a mobile, digital data medium which stores the system data. The system data for

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a device contains, in particular, the input and output characteristics of the latter. As a result, the user can take the data medium with its system values specialist dealer or technical customer service point and hence receive very specific advice about his home system.

Instead of storing the system data on a digital data medium, it is alternatively also possible to transmit the system data via a modem or other analogue or digital telecommunication connection to an appropriate specialist dealer or customer service point, or to show it on a display, so that the owner can take note of it. A further advantage is that this system data can locate or at least isolate a point of failure or malfunction, so that these faults can be eliminated more quickly. In complex digital systems having a plurality of devices, it is frequently difficult to locate malfunctions or associate them with a particular device.

One of these devices contains, in particular, a control 20 unit which, when operated appropriately by a user, polls relevant system data for connected devices via the interface and uses a device to store this system data on the data medium. Data media can be, in particular, a smart card or a chip card having a semiconductor memory. 25 A suitable central device which can be used to retrieve and store the system data is, in particular, a set-top box or a digital satellite receiver, some of which are already equipped with write/read devices for a smart card. 30

Alternatively, a minicomputer, such as a PC or laptop, which can likewise be connected to the IEEE interface can also be used, however, so that the system data can be stored on a floppy disk, for example. Relevant system data and characteristic data for a device used in the system are, in particular, serial number,

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manufacturer's mark, input and output characteristics, device class, software version and/or any error data.

### Drawings

5 The invention is explained in more detail below by way of example and with the aid of a schematic drawing, in which:

Figure 1 shows a system having entertainment electronics devices connected to one another via an IEEE 1394 interface.

## Detailed description of the invention

The system shown in the figure contains audio and video devices from the field of consumer electronics, which are connected to one another via an IEEE 1394 interface 1. In this case, a television set 2 is connected to a set-top box 3 by means of this interface 1 and to a digital camcorder 5 via an A/V amplifier 4. Other devices in this system are a CD player 6 and a tuner 7. The loudspeakers 8 are connected to the A/V amplifier 4.

The set-top box 3 contains a device 9 for reading and writing to a mobile digital data medium 10; in this illustrative example, the device 9 is a smart-card reader for a corresponding smart card having a non-volatile memory. The set-top box 3 also contains a control unit 11, for example a microprocessor, which can be used by a user of the set-top box to retrieve system data from all devices or from individual devices in this system via the interface 1. A set-top box is suitable for this since it already has a device 9 for writing to a smart card 10 anyway. The control unit 11 can be instructed [lacuna] keypad arranged above another set-top box 3, for example.

Alternatively, other devices in the system can also be used for storing the system data, for example the A/V amplifier 4 if it has a write/read device for a suitable

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WO 00/27127 PCT/EP99/07978

chip card, or a digital satellite receiver if it is used instead of the set-top box 3.

If a user has stored his system data on the data medium 10, he can take this system data to a specialist dealer or to a customer service point, where it is read and the user can then be advised. If the user also wishes to buy a digital video recorder 12, for example, in order to video recordings from the digital camcorder 5, the specialist dealer can read out the system data on the data medium 10 and recommend to the customer a device matching the performance of the digital camcorder 5. Similarly, the user can be advised by a specialist dealer in the event of system faults, provided that system data can still be retrieved and stored on the data medium 10 via the interface 1.

The interface 1 also allows the devices in the system to be installed in different rooms, for example the digital camcorder 5 and the digital video recorder 12 can be installed in a work room and the other devices in the audio and video system 2 - 4, 6, 7 can be installed in a living room. Using the set-top box 3 and the control unit 11, the user can, in this case, too, ascertain the status of all connected devices at any time, or can monitor which devices are connected. In addition, a minicomputer in the work room can also be connected to the system via an IEEE 1394 interface, so that this computer can also retrieve the system data for the devices 2 - 7 and store it on a floppy disk, for example.

The invention has been explained using the example of a system of a plurality of devices connected to one another via the IEEE 1394 bus. Hence, it is expressly pointed out that the invention can also be used when a plurality of devices are connected using other communication bus systems. Examples are the USB, CAN, Interbus, Ethernet, IBM Token Ring etc. bus systems.

Alternatively or in addition, the device having the control unit 11, the set-top box 3 in this illustrative example, can have a display 13 which can show the polled system data when an appropriate command is given. A further refinement of the invention is for the polled system data to be transmitted to a desired address, for example to a specialist dealer or a customer service point, via an available modem connection 14 when an appropriate user command is given.

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### Patent Claims

- 1. System having a plurality of devices connected to one another via a bus interface, in particular an IEEE 1394 bus interface, wherein one of the devices (3) contains a control unit (11) which, when operated appropriately by a user, polls system data for other devices (2,4-7)in this system via the interface (1), wherein said system data comprises characteristic data for a device (2, 4-7), e.g. a serial number, the manufacturer's mark, the device class, output and/or input characteristics, the software version and/or any error data and passes this system data to an output unit (9, 13, 14) of this one device (3), characterized in that, the output unit (9, 13, 14) is either a device (9) for writing to a mobile, digital data medium (10) which can store the system data or that the output unit (9, 13, 14) is a modem or another telecommunication connection (14) which, when operated appropriately by a user, can send the system data to a desired address.
- System according to Claim 1, characterized in that the mobile data medium (10) is a smart card or a chip card having a memory, and in that, when operated appropriately by a user, the control unit (11) in this one device (3) stores system data for the connected other devices (2,4-7) on the smart card or the chip card (10) using the device (9).
- 30 3. System according to Claim 1 or 2, characterized in that this one device (3) having the device (9) for writing to the data medium (10) is a set-top box or a digital satellite receiver having a write/read device for a chip card or a smart card.
- 4. System according to Claim 1 or 2, characterized in that this one device (3) having the device (9) for writing to the data medium (10) is a minicomputer having a drive for a floppy disk or another data medium having a magnetic or optical storage medium.
  - 5. Device for a system according to one of the Claims 1 4, wherein this one device (3) contains a control unit (11) which, when operated appropriately by a user, polls system data for the connected other devices (2,4-7) via an interface (1), characterized in that this one device includes a device (9) for writing to a mobile, digital data medium and wherein the device stores this system data on the data medium (10) using the device (9).

- 6. Device for a system according to one of the Claims 1 4, wherein this one device (3) contains a control unit (11) which, when operated appropriately by a user, or when an appropriate remote polling code is received, polls system data for the connected other devices (2,4-7) via an interface (1), characterized in that this one device (3) includes a modem or another telecommunication connection (14) which can send the polled system data to a desired address.
- 7. Device according to Claim 6, characterized in that user operation corresponds to remote control in the context of a remote polling code transmitted via communication line or by radio.

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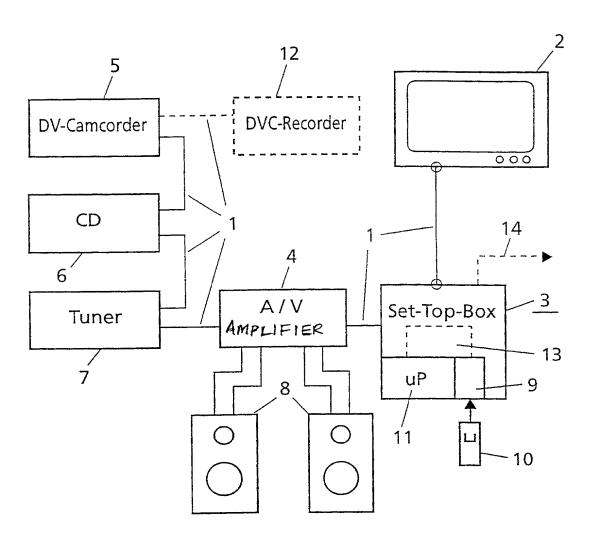


Fig.1

EXPRESS MAIL EL 682

PD980069

## DECLARATION FOR UNITED STATES PATENT APPLICATION, POWER OF ATTORNEY, DESIGNATION OF CORRESPONDENCE ADDRESS

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SYSTEM FOR STORING AND TRANSMITTING HOME NETWORK SYSTEM DATA

tne specification	OI	WHIC	ı
(CHECK ONE)		( )	

is attached hereto.

(xx)

was filed on October 21, 1999, Application Serial. No. PCT/EP 99/07978 and was amended on .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent, utility model, design or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

			Priority	
	Prior Foreign Application	tion(s)	Claimed	
Number	Country	Date Filed	Yes No	
198 50 574.4	DE	November 02, 1998	xx	

I hereby claim the benefit under 35 USC 120 of any US Application(s) listed below, and, insofar as the subject matter of each of the claims of this Application is not disclosed in the prior US application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

Serial No <u>.:</u>	Filed:	
oenaniyo	l licu.	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under of 18 USC 1001 and that such willful false statements may ieopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Joseph S. Tripoli (Reg. No. 26,040) Telephone: (609) 734-9443.

Address all correspondence to Joseph S. Tripoli, Patent Operations - Thomson multimedia

Licensing, Inc. - CN 5312 - Princeton, New Jersey 08543-0028.

Signature: Sole or First Joint Inventor Einst F. Schröder Date: 27 day of Homeny

Citizenship: DE

Residence and Post Office Address:

Pinkenburger Str. 25D

D-30655 Hannover

Germany